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2616



PATENT  
Attorney Docket No.: AVARS-02500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2616  
Michael A. Lloyd et al. ) Examiner: Ho, Chuong T.  
Serial No.: 10/070,515 )  
Filed: July 25, 2002 ) **TRANSMITTAL LETTER**  
For: **METHOD AND APPARATUS FOR** ) 162 N. Wolfe Road  
**THE ASSESSMENT AND** ) Sunnyvale, CA 94086  
**OPTIMIZATION OF NETWORK** ) (408) 530-9700  
**TRAFFIC** ) Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, Certification for Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, are enclosed for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-23-07

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-23-07 By: Mary S. G. 62



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Michael A. Lloyd et al.	)	Examiner: Ho, Chuong T.
Serial No.: 10/070,515	)	<b><u>CERTIFICATION FOR</u></b>
Filed: July 25, 2002	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>METHOD AND APPARATUS FOR</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>THE ASSESSMENT AND</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>OPTIMIZATION OF NETWORK</b>	)	162 North Wolfe Road
<b>TRAFFIC</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 3, 2007. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-23-07

By Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

Date: 3-23-07 By: Mary S. [Signature]



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Michael A. Lloyd et al.	)	Examiner: Ho, Chuong T.
Serial No.: 10/070,515	)	
Filed: July 25, 2002	)	<u>SUPPLEMENTAL INFORMATION</u>
	)	<u>DISCLOSURE STATEMENT</u>
For: <b>METHOD AND APPARATUS FOR THE ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC</b>	)	162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700
	)	Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No.: 6,178,448 B1;
- U.S. Patent No.: 6,687,229 B1;
- U.S. Patent No.: 6,836,463 B2;
- U.S. Patent No.: 7,020,086 B2; and
- U.S. Patent No.: 7,111,073 B1.

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))  
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HAVERSTOCK & OWENS LLP.

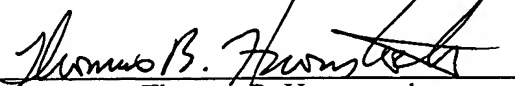
Date: 3-23-07 By: Mary Jones

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

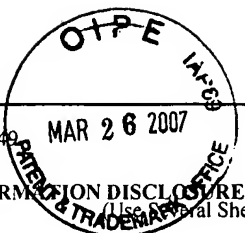
Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-23-07

By:

  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants



FORM PTO-1449  
(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: AVARS-02500

Serial No.: 10/070,515

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Additional Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Michael A. Lloyd et al.

Filing Date: July 25, 2002

Group Art Unit: 2616

**U.S. PATENT DOCUMENTS**

Examiner Initials		Patent Number/ Publication Number	Issue Date/ Publication Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,178,448 B1	01/23/2001	Gray et al.	709	224	06/18/1997
	AB	6,687,229 B1	02/03/2004	Kataria et al.	370	238	11/06/1998
	AC	6,836,463 B2	12/28/2004	Garcia-Luna-Aceves et al.	370	238	10/15/1999
	AD	7,020,086 B2	03/28/2006	Juttner et al.	370	238	06/29/2001
	AE	7,111,073 B1	09/19/2006	Jain et al.	709	241	05/30/2000
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	
	BC	

Examiner:

Date Considered:

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.